

A new characterisation of the Eremenko-Lyubich class

Dave Sixsmith

Department of Mathematics and Statistics
The Open University

One Day Function Theory Meeting
Sept 2013



One Day Function Theory Meeting

Inverse function singularities

We assume that f is a transcendental entire function.

Inverse function singularities

We assume that f is a transcendental entire function.

Definition

A *singular value* of f is a finite point w such that there is an inverse branch of f which cannot be continued on some path through w . There are two types:

Inverse function singularities

We assume that f is a transcendental entire function.

Definition

A *singular value* of f is a finite point w such that there is an inverse branch of f which cannot be continued on some path through w . There are two types:

(a) $w = f(z)$ where $f'(z) = 0$; w is a *critical value*.

Inverse function singularities

We assume that f is a transcendental entire function.

Definition

A *singular value* of f is a finite point w such that there is an inverse branch of f which cannot be continued on some path through w . There are two types:

- (a) $w = f(z)$ where $f'(z) = 0$; w is a *critical value*.
- (b) $f(z) \rightarrow w$ as $z \rightarrow \infty$ along some curve; w is a *finite asymptotic value*.

Inverse function singularities

We assume that f is a transcendental entire function.

Definition

A *singular value* of f is a finite point w such that there is an inverse branch of f which cannot be continued on some path through w . There are two types:

- (a) $w = f(z)$ where $f'(z) = 0$; w is a *critical value*.
- (b) $f(z) \rightarrow w$ as $z \rightarrow \infty$ along some curve; w is a *finite asymptotic value*.

Example

Let $f(z) = \exp(z^2)$. This has a critical value equal to 1 and a finite asymptotic value equal to 0.

The Eremenko-Lyubich class

Definition

The Eremenko-Lyubich class, \mathcal{B} , is the set of transcendental entire functions for which the set of singular values is bounded.

The Eremenko-Lyubich class

Definition

The Eremenko-Lyubich class, \mathcal{B} , is the set of transcendental entire functions for which the set of singular values is bounded.

Example

$f(z) = \exp z$, $g(z) = \sin z$.

These functions have finite sets of singular values.

The Eremenko-Lyubich class

Definition

The Eremenko-Lyubich class, \mathcal{B} , is the set of transcendental entire functions for which the set of singular values is bounded.

Example

$f(z) = \exp z$, $g(z) = \sin z$.

These functions have finite sets of singular values.

Example

$f(z) = (\sin z)/z$.

This function has an asymptotic value equal to zero, and an infinite set of critical values all within $\{w : |w| \leq 1\}$.

Some results on the Eremenko-Lyubich class

Functions in this class have been much investigated, particularly in complex dynamics.

Theorem (Eremenko and Lyubich 1992)

If $f \in \mathcal{B}$, then f has no escaping Fatou components.

Theorem (Stallard 1996)

(See also Barański, Karpińska and Zdunik 2009)

If $f \in \mathcal{B}$, then $\dim_H J(f) > 1$.

Theorem (Rottenfusser, Rückert, Rempe and Schleicher 2011)

There exists $f \in \mathcal{B}$ such that every path-connected component of $J(f)$ is bounded.

An 'expanding' property

An 'expanding' property

Definition

$$D_R = \{z : |f(z)| > R\}, \text{ for } R > 0.$$

An 'expanding' property

Definition

$D_R = \{z : |f(z)| > R\}$, for $R > 0$.

Lemma (Eremenko and Lyubich 1992)

If $f \in \mathcal{B}$, then there is a constant $R_0 > 0$ such that

$$\left| z \frac{f'(z)}{f(z)} \right| \geq \frac{1}{4\pi} (\log |f(z)| - \log R_0), \quad \text{for } z \in D_{R_0}.$$

A new result

This property has a strong generalisation.

A new result

This property has a strong generalisation.

Definition

$$\eta_f = \lim_{R \rightarrow \infty} \inf_{z \in D_R} \left| z \frac{f'(z)}{f(z)} \right|.$$

A new result

This property has a strong generalisation.

Definition

$$\eta_f = \lim_{R \rightarrow \infty} \inf_{z \in D_R} \left| z \frac{f'(z)}{f(z)} \right|.$$

Theorem (S. 2011)

Suppose that f is a transcendental entire function. Then, either $\eta_f = \infty$ and $f \in \mathcal{B}$, or $\eta_f = 0$ and $f \notin \mathcal{B}$.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.
- We show that $f \in \mathcal{B}$ and so $\eta_f = \infty$.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.
- We show that $f \in \mathcal{B}$ and so $\eta_f = \infty$.
- We can assume that the set of critical values is bounded.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.
- We show that $f \in \mathcal{B}$ and so $\eta_f = \infty$.
- We can assume that the set of critical values is bounded.
- It remains to prove that the set of finite asymptotic values is bounded.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.
- We show that $f \in \mathcal{B}$ and so $\eta_f = \infty$.
- We can assume that the set of critical values is bounded.
- It remains to prove that the set of finite asymptotic values is bounded.
- We next give a classification of transcendental singularities, which are associated with asymptotic values.

Proof – part 1.

- One half of the implication follows immediately from the lemma of Eremenko and Lyubich.
- For the other half of the implication, suppose that $\eta_f > \epsilon > 0$.
- We show that $f \in \mathcal{B}$ and so $\eta_f = \infty$.
- We can assume that the set of critical values is bounded.
- It remains to prove that the set of finite asymptotic values is bounded.
- We next give a classification of transcendental singularities, which are associated with asymptotic values.
- We use this classification to complete the proof.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.
- $f(z) = (\sin z)/z$ has an indirect singularity over zero.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.
- $f(z) = (\sin z)/z$ has an indirect singularity over zero.
- A direct transcendental singularity over a point a is called *logarithmic* if, for some $r > 0$, the restriction $f : U(r) \rightarrow B(a, r) \setminus \{a\}$ is a universal covering.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.
- $f(z) = (\sin z)/z$ has an indirect singularity over zero.
- A direct transcendental singularity over a point a is called *logarithmic* if, for some $r > 0$, the restriction $f : U(r) \rightarrow B(a, r) \setminus \{a\}$ is a universal covering.
- $f(z) = \exp z$ has a direct logarithmic singularity over zero.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.
- $f(z) = (\sin z)/z$ has an indirect singularity over zero.
- A direct transcendental singularity over a point a is called *logarithmic* if, for some $r > 0$, the restriction $f : U(r) \rightarrow B(a, r) \setminus \{a\}$ is a universal covering.
- $f(z) = \exp z$ has a direct logarithmic singularity over zero.
- Otherwise it is called *non-logarithmic*.

A classification of transcendental singularities

- A transcendental singularity over a point a is called *direct* if there exists $r > 0$ such that $f(z) \neq a$, for $z \in U(r)$.
- Otherwise it is called *indirect*.
- $f(z) = (\sin z)/z$ has an indirect singularity over zero.
- A direct transcendental singularity over a point a is called *logarithmic* if, for some $r > 0$, the restriction $f : U(r) \rightarrow B(a, r) \setminus \{a\}$ is a universal covering.
- $f(z) = \exp z$ has a direct logarithmic singularity over zero.
- Otherwise it is called *non-logarithmic*.
-

$$f(z) = \exp \left(\sum_{k=1}^{\infty} \left(\frac{z}{2^k} \right)^{2^k} \right)$$

has a direct non-logarithmic singularity over zero.

Proof – part 2.

Recall that f is a transcendental entire function with a bounded set of critical values, and that $\eta_f > \epsilon > 0$.

Proof – part 2.

Recall that f is a transcendental entire function with a bounded set of critical values, and that $\eta_f > \epsilon > 0$.

Lemma

The set of projections of direct logarithmic singularities of f is bounded.

Proof – part 2.

Recall that f is a transcendental entire function with a bounded set of critical values, and that $\eta_f > \epsilon > 0$.

Lemma

The set of projections of direct logarithmic singularities of f is bounded.

Lemma

The set of projections of indirect singularities of f is bounded.

Proof – part 2.

Recall that f is a transcendental entire function with a bounded set of critical values, and that $\eta_f > \epsilon > 0$.

Lemma

The set of projections of direct logarithmic singularities of f is bounded.

Lemma

The set of projections of indirect singularities of f is bounded.

Lemma

The set of projections of direct non-logarithmic singularities of f is bounded.

Proof of lemma – the set of projections of direct logarithmic singularities of f is bounded.

Theorem

Suppose that W is a domain, and $g : W \rightarrow \mathbb{D}^$ is a covering map. Then exactly one of the following holds:*

- (i) there exists a conformal map $\phi : W \rightarrow \mathbb{H}$ such that $g = \exp \circ \phi$;*
- (ii) there exists a conformal map $\phi : W \rightarrow \mathbb{D}^*$ such that $g = (\phi)^m$, for some $m \in \mathbb{N}$.*

Proof of lemma – the set of projections of direct logarithmic singularities of f is bounded.

Theorem

Suppose that W is a domain, and $g : W \rightarrow \mathbb{D}^$ is a covering map. Then exactly one of the following holds:*

- (i) there exists a conformal map $\phi : W \rightarrow \mathbb{H}$ such that $g = \exp \circ \phi$;*
- (ii) there exists a conformal map $\phi : W \rightarrow \mathbb{D}^*$ such that $g = (\phi)^m$, for some $m \in \mathbb{N}$.*

Corollary

Suppose that f is a transcendental entire function with a logarithmic singularity over a point $a \in \widehat{\mathbb{C}}$. Then there exist a neighbourhood of the singularity, $W = U(r)$, and conformal maps $\phi : W \rightarrow \mathbb{H}$ and $h : B(a, r) \setminus \{a\} \rightarrow \mathbb{D}^$ such that $h \circ f = \exp \circ \phi$.*

Proof of lemma – the set of projections of indirect logarithmic singularities of f is bounded.

Theorem (Bergweiler and Eremenko 1995)

Suppose that f is a transcendental entire function with an indirect singularity with projection $a \in \hat{\mathbb{C}}$. Suppose that a is not the limit of critical values of f . Then there exists a sequence of asymptotic values (a_n) , which converge to a , a sequence of disjoint unbounded simply connected domains (U_n) such that $D_n = f(U_n)$ is a disc with $a_n \in \partial D_n$, and a sequence of asymptotic curves (Γ_n) such that $\Gamma_n \subset U_n$, $f(\Gamma_n)$ is a radius of D_n ending at a_n , and f is univalent in U_n .

Proof of lemma – the set of projections of direct non-logarithmic singularities of f is bounded.

Theorem (S. 2011)

Suppose that f is a transcendental entire function, with a direct non-logarithmic singularity with projection $a \in \widehat{\mathbb{C}}$. Then at least one of the following holds:

- (i) a is the limit of critical values of f ;*
- (ii) every neighbourhood of this singularity contains a neighbourhood of another singularity that is either indirect or logarithmic, and whose projection is different from a .*

Proof of lemma – the set of projections of direct non-logarithmic singularities of f is bounded.

Theorem (S. 2011)

Suppose that f is a transcendental entire function, with a direct non-logarithmic singularity with projection $a \in \widehat{\mathbb{C}}$. Then at least one of the following holds:

- (i) a is the limit of critical values of f ;*
- (ii) every neighbourhood of this singularity contains a neighbourhood of another singularity that is either indirect or logarithmic, and whose projection is different from a .*

Theorem (Bergweiler and Eremenko 2008)

Suppose that f is a transcendental entire function, with a direct non-logarithmic singularity with projection $a \in \mathbb{C}$. Then every neighbourhood of this singularity is also a neighbourhood of other direct singularities with projection a .