

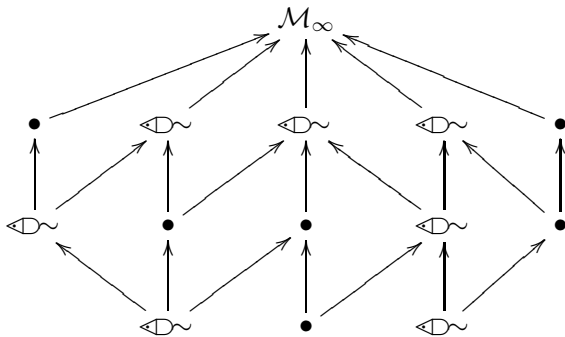
# HOD in $M_n(x, g)$

Sandra Uhlenbrock

January 25th-30th, 2017

work in progress with Grigor Sargsyan

Arctic Set Theory Workshop 3, Kilpisjärvi, Finland



# SOME LIKE IT HOD

UC IRVINE, JULY 18 – 29, 2016

Drawing by Martin Zeman.

- Want to understand  $\text{HOD}^M$  for various inner models  $M$  like  $L(\mathbb{R})$ ,  $L[x]$  or  $M_n(x)$  (assuming determinacy).
- Test question: Is  $\text{HOD}^M$  a model of GCH?
- Goal: Show that  $\text{HOD}^M$  is a core model (i.e. a fine structural model).
- This would imply that we have  $\text{GCH}, \diamond, \square, \dots$  in  $\text{HOD}^M$ .

# What is known about $\text{HOD}^{L(\mathbb{R})}$

Assume  $\text{AD}^{L(\mathbb{R})}$ .

- (Becker, 1980)  $\text{HOD}^{L(\mathbb{R})} \models \text{GCH}_\alpha$  for all  $\alpha < \omega_1^V$ .
- (Steel, Woodin, 1993)  $\text{HOD}^{L(\mathbb{R})} \cap \mathbb{R} = M_\omega \cap \mathbb{R}$ .
- (Steel, Woodin, 1993)

$$\text{HOD}^{L(\mathbb{R})} \cap \mathcal{P}(\omega_1^V) = N \cap \mathcal{P}(\omega_1^V),$$

where  $N$  is the  $\omega_1^V$ -th iterate of  $M_\omega$  by its least measure.

- (Steel, 1995)

$$\text{HOD}^{L(\mathbb{R})} \cap V_{(\delta_1^2)^{L(\mathbb{R})}} = M_\infty \cap V_{(\delta_1^2)^{L(\mathbb{R})}},$$

where  $M_\infty$  is a direct limit of iterates of  $M_\omega$ , and

$(\delta_1^2)^{L(\mathbb{R})} = \sup\{\alpha \mid \exists f(f : \mathbb{R} \rightarrow \alpha \text{ and } f \text{ is surjective and } \Delta_1^{L(\mathbb{R})})\}$ .

- (Woodin,  $\approx 1996$ )

$$\text{HOD}^{L(\mathbb{R})} = L[M_\infty, \Lambda],$$

where  $\Lambda$  is a partial iteration strategy for  $M_\infty$ .

# What is known about $\text{HOD}^{L[x]}$

... very little.

## Question

Assume  $\Delta_2^1$ -determinacy. Do we have

$$\text{HOD}^{L[x]} \models \text{GCH}$$

for a Turing cone of reals  $x$ ?

What we can do is (under the right determinacy assumption) analyze  $\text{HOD}^{L[x][G]}$  for a Turing cone of reals  $x$ , where

- $G$  is  $\text{Col}(\omega, < \kappa_x)$ -generic over  $L[x]$ , and
- $\kappa_x =$  least inaccessible cardinal in  $L[x]$ .

# HOD<sup>L[x,G]</sup> as a core model

For every real  $x$  let  $\kappa_x$  denote the least inaccessible cardinal in  $L[x]$ .

## Theorem (Woodin, 90's)

Assume  $\Delta_2^1$ -determinacy. For a Turing cone of  $x$ ,

$$\text{HOD}^{L[x,G]} = L[M_\infty, \Lambda],$$

where  $G$  is  $\text{Col}(\omega, <\kappa_x)$ -generic over  $L[x]$ ,  $M_\infty$  is a direct limit of mice, and  $\Lambda$  is a partial iteration strategy for  $M_\infty$ .

Assume  $\Pi_{n+2}^1$ -determinacy.

**Goal:** Generalize this analysis to  $\text{HOD}^{M_n(x)[g]}$  for a Turing cone of reals  $x$ , where

- $M_n(x)$  denotes the least proper class iterable premouse with  $n$  Woodin cardinals,
- $g$  is  $\text{Col}(\omega, < \kappa_x)$ -generic over  $M_n(x)$ , and
- $\kappa_x < \delta_0^{M_n(x)}$  is an inaccessible strong cutpoint cardinal of  $M_n(x)$  such that  $\kappa_x$  is a limit of strong cutpoint cardinals in  $M_n(x)$ .

# The idea of the proof (very sketchy!)

Let  $x$  be a real such that  $M_{n+1}^\# \in M_n(x)$ .

- Define a direct limit system of iterates of  $M_{n+1} | (\delta_0^{+\omega})^{M_{n+1}}$  which have a Woodin cardinal that is countable in  $M_n(x)[g]$  together with iteration embeddings, call the direct limit  $M_\infty^+$ .
- $M_\infty^+$  is well-founded as  $M_{n+1}$  is sufficiently iterable.
- Define an internal direct limit system of suitable strongly  $s$ -iterable premice in  $M_n(x)[g]$  and call its direct limit  $M_\infty$ .
- Sargsyan:  $M_\infty = M_\infty^+$ , so in particular  $M_\infty$  is well-founded.
- Sargsyan:  $\delta^{M_\infty} = (\kappa_x^+)^{M_n(x)}$ .
- By definability of the internal direct limit system we have that

$$M_\infty \subseteq \text{HOD}^{M_n(x)[g]}.$$



# The idea of the proof (very sketchy!)

Let  $\kappa_\infty$  be the least inaccessible cardinal of  $M_\infty$  strictly above  $\delta_\infty$ .

- $M_\infty[H]$  for a  $\text{Col}(\omega, <\kappa_\infty)$ -generic  $H$  is the *derived model* of  $M_\infty$ .
- Use the derived model as a surrogate for  $M_n(x)[g]$  to compute  $\text{HOD}^{M_n(x)[g]}$ .

## Lemma (Derived model resemblance, Woodin)

*The derived model  $M_\infty[H]$  (adding the theory of  $M_n$  on top) is elementary equivalent to  $M_n(x)[g]$ .*

- Therefore  $M_\infty[H]$  has its own version of the direct limit system, call the direct limit model  $M_\infty^* = (M_\infty)^{M_\infty[H]}$ .
- $M_\infty$  shows up in this direct limit system, let  $\pi_\infty : M_\infty \rightarrow M_\infty^*$  be the corresponding map.
- In fact,  $\pi_\infty \upharpoonright \alpha \in M_\infty$  for all  $\alpha < \delta$ .

Using this we can show:

### Theorem

$$\text{HOD}^{M_n(x)[g]} \cap V_{\delta_\infty} = M_\infty \cap V_{\delta_\infty}.$$

Moreover we are optimistic to show:

### Lemma

*For some  $M_n(x)[g]$ -definable set  $A \subseteq \omega_2^{M_n(x)[g]}$  we have that*

$$\text{HOD}^{M_n(x)[g]} = M_n(A).$$

This should then give that

$$\text{HOD}^{M_n(x)[g]} = M_n(M_\infty, \Lambda),$$

where  $\Lambda$  is a partial iteration strategy for  $M_\infty$ .

# Open questions

## Question

Is  $\text{HOD}^{L[x]}$  (without the generic  $G$ ) a core model?

## Proposition (Schlutzenberg, 2016)

Given sufficient large cardinals, there is a cone of reals  $x$  such that if  $\mathcal{F}$  is a natural candidate for a limit system to analyze  $\text{HOD}^{L[x]}$ , then  $\mathcal{F}$  is not closed under pseudo-comparison of pairs.

## Question

Is  $\text{HOD}^{M_n(x)}$  (without the generic  $g$ ) a core model?

It is not even known if  $\text{HOD}^{L[x]}$  and  $\text{HOD}^{M_n(x)}$  are models of GCH.

Thank you for your attention!